Katherine Patsas Nevitt NOT ADMITTED IN VA 8300 Greensboro Dr. Suite 1200 Tysons, VA 22102

kpatsas@fcclaw.com (703) 584-8676 WWW.FCCLAW.COM



February 27, 2018

VIA ELECTRONIC FILING

Marlene H. Dortch, Secretary Office of the Secretary Federal Communications Commission 445 12th Street, S.W., Suite TW-A325 Washington, DC 20554

> Annual 47 C.F.R. § 64.2009(e) CPNI Certification RE:

> > **Covering Calendar Year 2017**

EB Docket No. 06-36

Industrial Communications & Electronics, Inc.

499 Filer ID: 813245/ FRN: 0003614872

Dear Ms. Dortch:

Industrial Communications & Electronics, Inc., by its attorneys and pursuant to Section 64.2009(e) of the Commission's rules, hereby submits its 2017 CPNI Certification and Accompanying Statement.

If there are any questions regarding this submission, please contact the undersigned.

Very truly yours,

Tatheren Esta Worth Katherine Patsas Nevitt

Enclosures

cc: Best Copy and Printing, Inc.



Industrial Communications & Electronics, Inc. Industrial Tower and Wireless, LLC Industrial Communications, LLC

40 Lone Street
Marshfield, Massachusetts 02050
781-319-1111 • Fax 781-837-4000
www.industrialcommunications.com

VIA ELECTRONIC FILING

Marlene H. Dortch, Office of the Secretary Federal Communications Commission 445 12th Street, SW Suite TW-A325 Washington, D.C. 20554

Re: Annual 47 C.F.R. § 64.2009(e) CPNI Certification

Covering Calendar Year 2017

EB Docket No. 06-36

Industrial Communications & Electronics, Inc.

499 Filer ID: 813245/ FRN: 0003614872

CERTIFICATION

I, Michael J. Umano, hereby certify that I am an officer of the company named above, and acting as an agent of the company, that I have personal knowledge that the company has established operating procedures effective during the calendar year 2017 that are adequate to ensure compliance with the Customer Proprietary Network Information rules set forth in 47 C.F.R. §§ 64.2001 *et seq.* of the rules of the Federal Communications Commission.

Attached to this certification is an accompanying statement that (i) explains how the company's procedures ensure that the company is in compliance with the requirements set forth in 47 C.F.R. §§ 64.2001 *et seq.* of the rules, (ii) explains any actions taken against data brokers during the past year, (iii) summarizes all customer complaints received in the past year concerning the unauthorized release of CPNI and (iv) reports information known to the company regarding tactics pretexters may be using to attempt access to CPNI.

The company represents and warrants that the above certification is consistent with 47. C.F.R. § 1.17 which requires truthful and accurate statements to the Commission. The company also acknowledges that false statements and misrepresentations to the Commission are punishable under Title 18 of the U.S. Code and may subject it to enforcement action.

Name: Michael J. Umano

Title: President

Date: February 27, 2018

STATEMENT

Industrial Communications & Electronics, Inc. ("Carrier") is **not interconnected to the Public Switch Telephone Network** ("**PSTN"**), and consequently does not have the type of confidential and proprietary information that the Federal Communication Commission ("Commission") customer proprietary network information ("CPNI") regulations are designed to protect.

Carrier offers only two-way dispatch service, where communications are between operators of mobiles and portables in the field and a dispatcher at a console in an office. Carrier's facilities are used by business customers, not by consumers, and fill a very specific, niche communications requirement for one-to-many mobile transmissions. They involve business, not personal, communications and, by their nature, are far from private. Therefore, there is little or no likelihood that Carrier will ever have information that requires CPNI protection.

Nevertheless, and out of an abundance of caution, Carrier has established operating procedures that ensure compliance with the Federal Communication Commission ("Commission") regulations regarding the protection of customer proprietary network information ("CPNI").

- Carrier has adopted a manual and keeps it updated with FCC CPNI rule revisions, and has designated a CPNI compliance officer to oversee CPNI training and implementation.
- Carrier continually educates and trains its employees regarding the appropriate use of CPNI, specifically outlining when they are and are not allowed to use CPNI. Carrier has established disciplinary procedures should an employee violate the CPNI procedures established by Carrier.
- Carrier has implemented a system whereby the status of a customer's CPNI approval can be determined prior to the use of CPNI.
- Carrier maintains a record of its and its affiliates' sales and marketing campaigns that use its
 customers' CPNI. Carrier also maintains a record of any and all instances where CPNI was disclosed
 or provided to third parties, or where third parties were allowed access to CPNI. The record includes
 a description of each campaign, the specific CPNI that was used in the campaign, and what products
 and services were offered as a part of the campaign. These records are maintained for a minimum of
 one year.
- Carrier has established a supervisory review process regarding compliance with the CPNI rules with
 respect to outbound marketing situations and maintains records of carrier compliance for a minimum
 period of one year. Specifically, Carrier's sales personnel obtain supervisory approval of any
 proposed outbound marketing request for customer approval regarding its CPNI, and a process
 ensures that opt-out elections are recorded and followed.
- Carrier has established procedures to notify law enforcement and customer(s) of unauthorized disclosure of CPNI in accordance with FCC timelines.
- Carrier has implemented procedures to properly authenticate customers prior to disclosing CPNI over

the telephone, at Carrier's retail locations, electronically or otherwise.

- Carrier understands and is prepared to provide written notice to the FCC within five (5) business days of any instance where the opt-out mechanisms do not work properly and is more than an anomaly.
- Carrier has established procedures to ensure that customers will be immediately notified of account changes including changes to passwords, back-up means of authentication for lost or forgotten passwords, or address of record.
- Carrier took the following actions against data brokers in 2017, including proceedings instituted or petitions filed by Carrier at a state commission, in the court system, or at the Federal Communications Commission: **None**
- The following is information Carrier has with respect to the processes pretexters are using to attempt to access CPNI, and [if any] what steps carriers are taking to protect CPNI: <u>Carrier has determined</u> that no pretexter has attempted to access CPNI on Carrier's system. Employees are trained to be diligent with CPNI and assure identification.
- The following is a summary of all customer complaints received in 2017 regarding the unauthorized release of CPNI:
 - o Number of customer complaints Carrier received in 2017 related to unauthorized access to CPNI, or unauthorized disclosure of CPNI: **None**
 - o Category of complaint:
 - **0** Number of instances of improper access by employees
 - <u>0</u> Number of instances of improper disclosure to individuals not authorized to receive the information
 - <u>0</u> Number of instances of improper access to online information by individuals not authorized to view the information
 - <u>0</u> Number of other instances of improper access or disclosure
 - o Description of instances of improper access or disclosure: **None**